

DATE: May 17, 2019

## CIRCULARS

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### RBI

- Outsourcing of Cash Management – Reconciliation of Transactions

### NSE

- SEBI Order in the matter of Turbotech Engineering Limited.
- Revision in timelines for Facility for Non–Settlement related payments from Custodians to Trading Members in Cash Market segment
- New facility on NCMS for trade information to Clearing Members
- New facility on NCMS for trade and giveup information to Clearing Members
- New facility on NCMS for trade and giveup information to Clearing Members
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Applicability of Additional Surveillance Measure (ASM)
- SEBI Order in the matter of ESBI Infrastructure Company Limited
- Clarification on Interoperability among Clearing Corporations

## BSE

- Interoperability framework amongst Clearing Corporations- Members / Custodians Back-office Files - Update
- FAQ's on Interoperability framework amongst Clearing Corporations
- Test Environment for Equity, Equity Derivatives and Currency Derivatives segments for Interoperability of Clearing Corporations
- Clarification received from the company
- Submission of Internal Audit Report by trading members / clearing members for half year ended March 31, 2019
- Applicability of Additional Surveillance Measure
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Frequently Asked Questions (FAQs) related to Unified Payments Interface (UPI) as a payment mechanism for Retail Individual Investors in IPO Bidding

## MCX

- Mock Trading
- De-accreditation of Warehouse (Mentha Oil)
- Revision in Delivery and Settlement Procedure for SILVER, SILVER MINI and CARDAMOM
- Due Date Rates

## SEBI

- Adjudication Order in respect of 1) Basil International Ltd, 2) Mr. Manindra Kumar Basu, 3) Mr. Susanta Kumar Jana, 4) Mr. Mohammed Afaque Ahmed, 5) Mr. Nirmalendu Bhowmik, 6) Mr. Satya Narayan Karmakar, 7) Mr. Sourindra Nath Mukherjee, 8) Mr.

Biplab Talukdar, 9) Mr. Susanto Chatterjee, 10) Ms. Monami Basu, 11) Mr. Sadhan Kumar Nandi, 12) Ms. Sarmistha Sengupta Saikia, 13) Ms. Korobi Sengupta, 14) Mr. Kaushik Chattopadhyay and 15) Mr. Promothesh Banerjee in the matter of Basil International Ltd.

- Adjudication Order in respect of IFCI Financial Services Limited in the matter of IFCI Financial Services Limited
- Adjudication Order in respect of two entities in the matter of Action Financial Services (INDIA) Limited
- Adjudication order in respect of Shri Ram Ratan Chowdhary in the matter of Trading in Illiquid Stock Options on BSE
- Order in the matter of ESBI Infrastructure Company Ltd
- Order in the matter of Turbotech Engineering Ltd.

#### NSDL

- No New Circulars

#### NCDEX

- Illegal deposit raising activities by Crecer Financial Services Pvt. Ltd. and related entities

#### ICEX

- Submission of Data Pertaining to Risk Based Supervision and Internal Audit Report
- Modification in Contract Specifications of Pepper Future Contracts
- Reminder for Submission of Half Yearly Networth as on March 31, 2019
- Penalties/disciplinary action(s) on Shortfall in Net-worth of members
- Resignation / Surrender of membership
- MCCIL- DDR

## INX

- ETI API update from version 1.1.4 to version 1.1.5

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